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UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/776,423

02/02/2001

Toru Kutsuzawa

WATANABE. 001AUS

CONFIRMATION NO. 5312

FORMALITIES LETTER

MURAMATSU & ASSOCIATES Suite 225 7700 Irvine Center Drive Irvine, CA 92618 *OC00000005848943*

Date Mailed: 03/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

06/11/2001 TGEDAMU1 00000116 09776423

02 FC:205

65.00 OP





Case Docket No. WATANABE.001AUS

Date: June 5, 2001

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Toru Katsuzawa et al.

App. No.

09/776,423

Filed

February 2, 2001

For

TRANSACTION INTERMEDIARY

APPARATUS, METHOD AND SYSTEM WITH NEGOTIATION

CAPABILITY FOR TRANSACTION OF GOODS OR SERVICES

THROUGH COMMUNICATION NETWORK

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

June 5, 2001

(Date)

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

Enclosed are:

- (X) A Signed Declaration and Power of Attorney.
- (X) Fees as calculated below:

FEE FOR EXTENSION OF TIME (SMALL ENTITY)	\$ 55
SURCHARGE 37 CFR 1.16(e) (SMALL ENTITY)	\$ 65
SURCHARGE 37 CFR 1.17(i)	\$ 0
TOTAL OF ABOVE CALCULATIONS	\$ 120

- (X) A check in the amount of \$120.00 to cover the above fees is enclosed.
- (X) A copy of Notice to File Missing Parts.
- (X) Return prepaid postcard.

06/11/2001 TGEDAMU1 00000116 09776423

01 FC:215

55.00 OP

uo Muramatsu Registration No. 38,684 Attorney of Record

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TRS-DECW.B01 060401